

Docket No.: 2801-0187P

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: Robert K. SCHULTZ et al.	
Application No.: 10/820,817	Confirmation No.: 8899
Filed: April 9, 2004	Art Unit: 1615
For: SUSPENSION AEROSOL FORMULATIONS	Examiner: E. E. Silverman

INFORMATION DISCLOSURE STATEMENT (SUBMISSION AFTER FILING OF AN APPLICATION BUT BEFORE FINAL REJECTION OR NOTICE OF ALLOWANCE OR CONCURRENTLY WITH A RULE 1.114 RCE APPLICATION)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98, applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the PTO-SB08(s), attached hereto.

II.	<u>COP</u>	I <u>ES</u>
	a.	Copies of cited U.S. patents and patent application publications are not included
Copie	es of for	reign patent documents and non-patent literature are included.
	b.	Some or all of the documents listed on the PTO-SB08 are not enclosed because
they	were ci	ted in the International Search Report and copies should already be in the PTO file
If cop	ies are	needed, please contact the undersigned.

11/23/2005 NBEYENE1 00000083 10820817

02 FC:1806

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Application No.: 10/820,817 Docket No.: 2801-0187P

© c. <u>REFERENCES PREVIOUSLY CITED OR SUBMITTED</u> - Pursuant to 37 C.F.R. §1.98(d), consideration of information listed on the PTO-SB08 form(s) is requested since any patents, publications, or other information which are listed on the PTO-SB08 form(s) but for which copies are not enclosed herewith, were previously cited by or submitted to the PTO in related <u>U.S. Application Nos. 09/362,696; 09/444,296; or 10/214,186</u>, or in one of the following applications which has been relied upon for an earlier filing date under 35 U.S.C. § 120:

U.S. Application No. and U.S. Filing Date

08/455,280 filed May 31, 1995

07/878,039 filed May 4, 1992

07/809,791 filed December 18, 1991

07/810,401 filed December 18, 1991

III. CONCISE EXPLANATION OF THE RELEVANCE

(check at least one box)

\boxtimes	a.	<u>DOCUMENTS IN THE ENGLISH LANGUAGE</u> - The patents, publications, or other
inform	ation li	sted on the attached PTO SB08 are in the English language and therefore, do not
require	a state	ment of relevancy.
— relevar	nce of	<u>DOCUMENTS NOT IN THE ENGLISH LANGUAGE</u> - A concise explanation of the all patents, publications, or other information listed that is not in the English follows:

- c. <u>ENGLISH LANGUAGE SEARCH REPORT</u> An English language version of the search report or action that indicates the degree of relevance found by the foreign office is attached, thereby satisfying the requirement for a concise explanation. See MPEP 609(III)(A)(3).
- d. OTHER The following additional information is provided for the Examiner's consideration. Each reference listed on the attached SB08, were cited in a related application, as noted above (see box II.c.), with the exception of B. K. Sidhu et al., J. Pharm. Pharmacol., 1993, 45: 597-600, which is first cited herein.

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Docket No.: 2801-0187P Application No.: 10/820,817 IV. FEES (check one box) 1 1 This Information Disclosure Statement is being filed concurrently with the filing of a new patent application; therefore, no fee is required. This Information Disclosure Statement is being filed concurrent with the filing of b. a continuation-in-part, continuation, or divisional patent application; therefore, no fee is required. c. This Information Disclosure Statement is being filed within three months of the filing date of a national application (37 C.F.R. § 1.97(b)(1)). No fee or statement is required. (This section is not to be used with RCE's.) d. This Information Disclosure Statement is being filed within three months of the date of entry of the national stage as set forth in § 1.491 in an international application (37 C.F.R. $\S 1.97(b)(2)$). No fee or statement is required. e. This Information Disclosure Statement is being filed concurrently with the filing of a Request for Continued Examination under § 1.114 (37 C.F.R. § 1.97(b)(4)). No fee or statement is required. f. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits (37 C.F.R. § 1.97(b)(3)). No fee or statement is required. In the event that a first Office Action on the merits has been issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) below, or, if no statement has been made, charge our deposit account for the fee as required by 37 C.F.R. § 1.17(p). This Information Disclosure Statement is being filed before the mailing date of a g. Final Office Action under 37 C.F.R. § 1.113 (See 37 C.F.R. § 1.97(c)(1)) or before the mailing date of a Notice of Allowance under 37 C.F.R. § 1.311 (See 37 C.F.R. § 1.97(c)(2)). \boxtimes No statement; therefore, a fee as required by 37 C.F.R. § 1.17(p) is attached. or

See the statement below. No fee is required.

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V. STATEMENT UNDER 37 C.F.R. § 1.97(e)

(check only one box)

The undersigned hereby states that:

Each item of information contained in the IDS was first cited in any a. communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this IDS; or Each item of information contained in the IDS was first cited in any b. communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS; or П No item of information contained in the IDS was cited in a communication from a c. foreign Patent Office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS. Some of the items of information were cited in a communication from a foreign d. Patent Office. As to this information, the undersigned states that each item of information contained in the IDS was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this IDS. As to the remaining information, the undersigned hereby states that no item of this remaining information contained in the IDS was cited in a communication from a foreign Patent Office in a counterpart foreign application and, to the best of my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

VI. PAYMENT OF FEES (check one box)

 \boxtimes The required fee is listed on the attached Fee Transmittal. No fee is required.

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If the Examiner has any questions concerning this IDS, he/she is requested to contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the PTO is requested to consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to our Deposit Account No. 02-2448 for any additional fees required under 37 C.F.R. § 1.16 or under § 1.17; particularly, extension of time fees.

Dated: November 21, 2005

Respectfully submitted,

Gerald M Murphy, Jr.

Registration No.: 28,977

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(703) 205-8000

Attorney for Applicant

Attachment(s):

\boxtimes	PTO-SB08
	Documents
	Foreign Search Report
\boxtimes	Fee
同	Other:

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PTO/SB/08a/b (07-05)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE fuction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Complete if Known Substitute for form 1449A/B/PTO Application Number 10/820,817-Conf. #8899 **INFORMATION DISCLOSURE** April 9, 2004 Filing Date Robert K. SCHULTZ STATEMENT BY APPLICANT First Named Inventor 1615 Art Unit (Use as many sheets as necessary) E. E. Silverman Examiner Name 1 of 7 Attorney Docket Number 2801-0187P Sheet

				TENT DOCUMENTS	Pages, Columns, Lines, Where
Examiner nitials*	Cite No.1	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Relevant Passages or Relevant
	AA*	US-5,145,684	00 08 1002	Liversidge et al.	3
	AB*	US-5,492,688	02-20-1996		
	AC*	US-5,508,023	04-16-1996		
	AD*		07-07-1998		9 11 11 11 11 11 11
	-	US-5,776,433			
	AE*	US-5,817,293	11-10-1998	Akehurst et al.	
		US-5,833,950		Akehurst et al.	
	AG*	US-5,916,540		Akehurst et al.	
	AH*	US-5,922,306			
	AI*	US-6,006,745	12-28-1999		
_	AJ*	US-6,013,245	01-11-2000		
	AK*	US-6,068,832	05-30-2000		
	AL*	US-6,200,549		Akehurst et al.	
	AM*	US-6,221,339		Akehurst et al.	
	AN*	US-6,238,647		Akehurst et al.	
	AO*	US-6,251,368		Akehurst et al.	
	AP*	US-6,306,368		Taylor & Burnell	
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	AR*	US-5,508,023	04-16-1996		
	AS*	US-5,919,435	07-06-1999		
	AT*	US-6,030,682	02-29-2000		
	AU*	US-6,303,103		Akehurst et al.	
	AV*	US-6,309,624		Sapsford et al.	
	AW*	US-6,333,023	12-25-2001	Akehurst et al.	
	AX*	US-20020071812-A1	06-13-2002	Weil et al.	
	AY*	US-6,413,497	07-02-2002		
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•	AA1*	US-4,335,121	06-15-1982		
		US-5,270,305	12-14-1993	Palmer	
	AC1*	US-6,416,743	07-09-2002	Fassberg et al.	
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	AF1*	US-20040197273-A1	10-07-2004	Schultz et al.	
_	AG1*	US-4,767,612	08-30-1988	Hagen et al.	
	AH1*	US-6,352,684-B1	03-05-2002	Purewal et al.	
	Al1*	US-4,710,495	12-01-1987	Bodor	
	AJ1*	US-4,963,557	10-16-1990	Badger et al.	
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		US-5,427,282	06-27-1995	Greenleaf et al.	
	AM1*	US-6,054,488	04-25-2000	Oliver et al.	
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		US-2,885,427	05-05-1959	Ruh et al.	
_		US-3,014,844	12-26-1961	Thiel et al.	
		US-3,219,533	11-23-1965	Mullins et al.	
		US-3,261,748	07-19-1966	Larsen et al.	
		US-3,320,125	05-16-1967	Grim et al.	
xamine		1 1	1	Date	

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l in	NFORMATIO	N DIS	SCLOSURE	Filing Date	April 9, 2004	
l s	TATEMENT	BY A	PPLICANT	First Named Inventor	Robert K. SCHULTZ	
				Art Unit	1615	
	(Use as many sh	eets as	necessary)	Examiner Name	E. E. Silverman	
Sheet	2	of	7	Attorney Docket Number	2801-0187P	

<i>I</i>	AT1*	US-3,644,353	02-22-1972	Lunts, Lawrence et al.	
1	4U1*	US-3,809,294	05-07-1974	William Torgeson	
1	4V1*	US-3,897,779	08-05-1975	Hansen	
/	AW1	US-4,044,126	08-23-1977	Cook et al.	
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/	4X1*	US-4,129,603		Stephen L. Bell	
	4Y1*	US-4,174,295	11-13-1979	Bargigia et al.	
/	4Z1*	US-4,241,048		Durbak et al.	
		US-4,311,863		William H. Gumprecht	
<i>I</i>	4B2*	US-4,347,236		Paavo Tanskanen	
		US-4,352,789	10-05-1982	Brown et al.	
	4D2*	US-4,405,598		Kenneth Brown	
		US-4,814,161	03-07-1989		
<i>I</i>	4F2*	US-4,851,595		William H. Gumprecht	
1	4G2*	US-4,859,696	08-22-1989	Kamiya et al.	
		US-4,940,171	07-10-1990		
		US-4,945,119	07-31-1990	Smits et al.	
1	√J2 *	US-5,118,494	06-02-1992	Schultz et al.	
	4K2*	US-5,126,123	06-30-1992		
	4L2*	US-5,182,040	01-26-1993	Bartlett et al.	
		US-5,182,097	01-26-1993	Byron et al.	
1	4N2*	US-5,190,029	03-02-1993	Byron et al.	
		US-5,202,110	04-13-1993	Dalby et al.	
1	4P2*	US-4,810,488	03-07-1989	Jinks et al.	
1	4Q2*	US-5,206,268	04-27-1993	Latter et al.	
		US-5,225,183	07-06-1993	Purewal et al.	
7	AS2*	US-5,230,884	07-27-1993	Evans et al.	
1	AT2*	US-5,254,755	10-19-1993	Li et al.	
1	4U2*	US-5,290,539	03-01-1994	Marecki	
1	AV2*	US-5,292,499	03-08-1994	Evans et al.	
1	AW2	US-5,310,762	05-10-1994	Latter et al.	
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1	4X2*	US-5,314,682	05-24-1994	Sweval et al.	
1	4Y2*	US-5,348,730	09-20-1994	Greenleaf et al.	
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		US-5,474,759	12-12-1995	Fassberg et al.	
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/	4K3*	US-5,683,676	11-04-1997	Akehurst et al.	
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	4M3*	US-5,688,782	11-18-1997		
/	4N3*	US-5,695,743	12-09-1997	Purewal et al.	

Examiner Signature Date Considered PTO/SB/08a/b (07-05)
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Su	bstitute for form 1449A/B	/PTO		Complete if Known		
"				Application Number	10/820,817-Conf. #8899	
	NFORMATIC	N DI	SCLOSURE	Filing Date	April 9, 2004	
5	STATEMENT	BY A	APPLICANT	First Named Inventor	Robert K. SCHULTZ	
				Art Unit	1615	
	(Use as many	sheets as	necessary)	Examiner Name	E. E. Silverman	
Sheet	3	of	7	Attorney Docket Number	2801-0187P	

AO3* US-5,720,940	02-24-1998	Purewal et al.	
AP3* US-5,736,124	04-07-1998	Akehurst et al.	
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 AR3* US-5,766,573	06-16-1998	Purewal et al.	
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Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or Pages, Columns, Lines, Where Relevant Passages	
Initials*	No.1	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document or Relevant Figures Appear	
	ВА	CA-2,075,058-A2	01-31-1991		
	BB	GB-91/26405.1	12-12-1991		
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	ВН	EP-0 518 601-A1	12-16-1992		
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	BJ	EP-0 656 206-A1	06-07-1995		
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	BL	EP-0 518 601	12-16-1992		
	ВМ	JP-52-80282-A1	07-05-1977		1
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	BP	GB-2 235 627-A	03-13-1991		
	BQ	CA-2,062,854-A1	09-15-1992		
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	BC1	EP-0 372 777	06/1990		
	BD1	EP-0 384 371-A1	08/1990		
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Examiner	Date	
Signature	Considered	

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BM1	WO-91/04011	04/1991
BN1	WO-91/11173	08/1991
BO1	WO-91/11495	08/1991
BP1	WO-91/11496	08/1991
BQ1	WO-91/14422	10/1991
BR1	WO-92/00061	01/1992
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BU1	WO-92/08447	05/1992
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BY1	WO-93/11743	06/1993
BZ1	WO-93/11744	06/1993
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BB2	WO-93/11747	06/1993
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BD2	GB-2 076 422	12-02-1981
BE2	GB-1 429 184	03-24-1976
BF2	DE-30 18 550	12-11-1980

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. * CITE NO.: Those application(s) which are marked with an single asterisk (*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. 'Applicant's unique citation designation number (optional). ³ See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

	NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²			
	CA	Byron, 1990, Respiratory Drug Del. CRC Press, Boaca Raton, FL, pp. 1-267				
	СВ	Graepel & Alexander, 1991, J. of Aerosol Med. 4(3):193-200				
	CC	Byron, 1990, Respiratory Drug Del. CRC Press, Boca Raton, FLA, pp. 1-267.				
	CD	Fassberg et al., 1991, U.S. Patent Application No. 07/712,789, filed 10 June 1991.				
	CE	Fassberg et al., 1992, U.S. Patent Application No. 08/157,188, filed as application no. PCT/US92/04618, filed 08 June 1992.				
	CF	Graepel & Alexander, 1991, "CFC Replacements: Safety Testing, Approval for Use in Metered Dose Inhalers", <i>J. of Aerosol Medicine</i> 4(3):1 93-200.				
	CG	Morck, H., 1990, "EC Commission: CFC Ban in 1997", <i>Pharmazeutische Zeitung</i> 135(9):502-503. English Translation Provided.				
	СН	Spauchur, H.O., 1988, "HFC 134a as a Substitute Refrigerant for CFC 12", Rev. Int. Froid 11:389-392.				
	СІ	1987, "Fluorocarbon/Ozone Alternative to Fully Halogenated Chlorofluorocarbons: The Du Pont Development Program", Du Pont Update, pp. 1-3				
	CJ	1988, "ICI Caution on CFC 22", Chemistry & Industry, Mar. 7, p. 132				
	CK	1988, "The Quest for 'Ozone Friendly' Gases", Financial Times, Nov. 11, p. 10.				
	CL	1990, "Hoechst to Replace CFCs", Brochure, Boescht um Ersatz von FCKW, September. English Translation Provided.				

Examiner	 Date	
Signature	 Considered	

PTO/SB/08a/b (07-05)
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СМ	1992, "CFC-Free Aerosols - The Final Hurdle", Manufacturing Chemist 63:22-23.
CN	Fassberg et al., 1991, U.S. Patent Application No. 07/712,791, filed as PCT/US92/04619, 08
	June 1992, now abandoned.
СО	Fassberg et al., 1993, U.S. Patent Application No. 08/157,182, filed 09 December 1993.
CP	Keller, M., "Alternatives to CFC containing dosing aerosols," Maritim Hotel Cologne, Seminar
	538 of the Working Party for Pharmaceutical Process Technology, March 1991
CQ	Hoescht: Development of alternatives for fully halogenated CFC is of highest importance,
	Hoescht Press Information, October 1989
CR	U.S. Application No. 08/455,490 as filed on May 31, 1995, and a copy of the pending claims.
CS	U.S. Application No. 08/455,874 as filed on May 31, 1995, and a copy of the pending claims.
СТ	Letter to European Patent Office, dated Aug. 10, 1995, in corresponding European Application
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Signature	Considered	

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Filing Date	April 9, 2004
				First Named Inventor	Robert K. SCHULTZ
				Art Unit	1615
(Use as many sheets as necessary)			s necessary)	Examiner Name	E. E. Silverman
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Signature	Considered	

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